

Prepared from
FORM PTO-1390Transmitted to the United States
Designated/Elected Office (DO/EO/US)

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09/719136

Customer No.	026418	
Attorney's Docket No.:	COLLI P-30 / 500556.20006	
U.S. Application No.:		
International Application No.:	PCT/AU99/00448	
International Filing Date:	JUNE 09, 1999	09 JUNE 1999
Priority Date Claimed:	JUNE 11, 1998	11 JUNE 1998
Title of Invention:	TEMPERATURE CONTROL METHOD AND APPARATUS	
Applicant(s) for (DO/EO/US):	Malcolm Barry JAMES	

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- [X] 1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
 [] 2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
 [] 3. This express request to begin national examination procedures [35 U.S.C. 371 (f)] at any time rather than delay examination until the expiration of the applicable time limit set forth in 35 U.S.C. 371(b) and PCT Articles 22 and
 [] 4. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
 [] 5. A copy of the International Application as filed [35 U.S.C. 371(c)(2)]
 a) _ is transmitted herewith (required only if not transmitted by the International Bureau)
 b) _ has been transmitted by the international Bureau
 c) _ is not required, as the application was filed in the United States Receiving Office (RO/US)
 [] 6. A translation of the International Application into English [35 U.S.C. 371(c)(2)]
 [] 7. Amendments to the claims of the International Application under PCT Article 19 [35 U.S.C. 371(c)(3)]
 a) _ are transmitted herewith (required only if not transmitted by the International Bureau)
 b) _ have been transmitted by the International Bureau
 c) _ have not been made; however, the time limit for making such amendments has **NOT** expired.
 d) _ have not been made and will not be made
 [] 8. A translation of the amendments to the claims under PCT Article 19 [35 U.S.C. 371(c)(3)]
 [] 9. An **UNSIGNED** oath or declaration of the inventor(s) [35 U.S.C. 371(c)(4)] **Executed Decl/POA - TO FOLLOW**
 [] 10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 [35 U.S.C. 371(c)(5)]

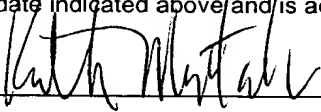
Items 11. to 16. below concern other document(s) or information included:

- [X] 11. An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98
 [] 12. An Assignment document for recording. A separate cover sheet (PTO-1595) in compliance with 37 CFR 3.28 and 3.31 is included.
 [] 13. _ A **FIRST** preliminary amendment
 _ A **SECOND** or **SUBSEQUENT** preliminary amendment
 [] 14. A substitute specification
 [] 15. A change of power of attorney and/or address letter
 [X] 16. (other items or information) **Publication No. WO 99/64218 16DEC99, PCT/IPEA/409 20JUL00 with Amended Claims and PCT/IPEA/416 25JUL00; Information Disclosure Statement, Search Report PCT/ISA/210 8JUL99 and 6 cited references.**

EXPRESS MAIL No.: EL 758 809 612 US

Deposited: December 7, 2000

I hereby certify that this correspondence is being deposited with the United States Postal Service Express mail under 37 CFR 1.10 on the date indicated above and is addressed to: BOX PCT, Assistant Commissioner for Patents, Washington, DC 20231.



/Ruth Montalvo Date: December 7, 2000

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U.S. Application No. (if known, see 37 C.F.R. 1.50):

International Application No.: PCT/AU 448

Attorney's Dock

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CALCULATIONS

PTO USE ONLY

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- ☒ 17. The following fees are submitted: **BASIC NATIONAL FEE [37 CFR 1.492(a)(1)-(5)]**
- ☐ Search Report has been prepared by the EPO or JPO..... \$ 860.00
- ☐ International preliminary examination fee paid to USPTO [37 CFR 1.482]..... \$ 690.00
- ☐ No International preliminary examination fee paid to USPTO [37 CFR 1.482] but International search fee paid to USPTO [37 CFR 1.445(a)(2)]..... \$ 710.00
- ☒ Neither International preliminary examination fee [37 CFR 1.482] nor International search fee [37 CFR 1.445(a)(2)] paid to USPTO..... \$ 1,000.00
- ☐ International preliminary examination fee paid to USPTO [37 CFR 1.482] and all claims satisfied provisions of PCT Article 33(1)-(4)..... \$ 100.00

ENTER APPROPRIATE BASIC FEE AMOUNT:

\$1,000.00

Claims	Number Filed		Number Extra	Rate	
Total Claims (Amended sheets)	22(17)	-20	2	x \$ 18. =	\$ 36.00
Indep. Claims	6	-03	6	x \$ 80. =	\$ 240.00
<input checked="" type="checkbox"/> Multiple Dependent Claim(s) (if applicable)					+ \$ 270. =
					\$ 270.00

TOTAL OF ABOVE CALCULATIONS: \$1,546.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date [37 CFR 1.492(e)] **TO FOLLOW**

TOTAL OF ABOVE CALCULATIONS: \$1,546.00

Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must be filed. [Note 37 CFR 1.9, 1.27, 1.28]

\$

SUBTOTAL: \$1,546.00

Processing fee of \$130.00 for furnishing the English Translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date [37 CFR 1.492(f)]

\$

TOTAL NATIONAL FEE: \$1,546.00

Fee for recording the enclosed assignment [37 CFR 1.21(h)] The assignment must be accompanied by an appropriate cover sheet (PTO-1595) [37 CFR 3.28, 3.31]. \$ 40.00 per property +

\$

TOTAL FEE(S): \$1,546.00

AMOUNTS TO BE
REFUNDED OR CHARGED

REFUNDE
CHARGE \$

(Please note the filing fee is based on the claims in the Amended sheets (PCT/IPES/416))

- ☐ Check in the amount of \$ 0.00 to cover the above fees is enclosed.
- ☒ The Commissioner is hereby authorized to charge the fee of \$ 1,546.00 to Deposit Account No. 50-1529, and any additional fees required with this submission or to credit any overpayment to Deposit Account No: 50-1529.)

NOTE: Where an appropriate time limit under 36 CFR 1.494 or 1.495 has not been met, a petition to revive [37 CFR 1.137(a) or (b)] must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:**J. Harold Nissen, Esq.** (Customer No. 026418)

Reed Smith LLP
375 Park Avenue
New York, NY 10152

J. Harold Nissen

Name (Tel. (212) 986-4096)

Signature

17,283

Reg. No.

December 7, 2000

Date